



IAN 3/6/18

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** George H. Butcher, III

**SERIAL NO.:** 09/724,039

**FILING DATE:** November 28, 2000

**ATTNY. DOCKET:** 06878.110800

**TITLE:** **METHOD, SOFTWARE PROGRAM AND SYSTEM FOR  
ISOLATING RISK IN A FINANCIAL TRANSACTION**

**REGULAR MAIL CERTIFICATE**

Date of Deposit: December 19, 2005

I hereby certify that the following attached paper(s) and/or fee

- (1) Response to final office action of 8/19/05 (18 pgs); plus Exhibit A
- (2) Petition for Extension of time 1 month (1 pg);
- (3) Fee transmittal authorizing deduction from deposit account 501561 of \$120;
- (4) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents

are being deposited with the United States Postal Service Regular First Class Mail to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to Mail Stop Amendment; Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450.

Respectfully submitted,  
**GREENBERG TRAURIG, LLP.**

*Randi Flamenbaum*  
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Legal Assistant

Dated: December 19, 2005

**CORRESPONDENCE:**

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 120

**Complete if Known**

Application Number	09/724,039
Filing Date	November 28, 2000
First Named Inventor	George H. Butcher, III
Examiner Name	Harish T. Dass
Art Unit	3628
Attorney Docket No.	06878.110800

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 501561 Deposit Account Name: Greenberg Traurig

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☒ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments

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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3						

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Petition for extension of time 1 month

Fees Paid (\$)

\$120

**SUBMITTED BY**

Signature		Registration No. 32,938 (Attorney/Agent)	Telephone 212-801-2244
Name (Print/Type)	Barry J. Schindler		Date 12/19/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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